

**IN THE MATTER OF  
THE *SECURITIES ACT*, R.S.P.E.I. 1988, Cap. S-3.1**

**AND**

**IN THE MATTER OF  
EXEMPTIONS FROM CERTAIN FILING REQUIREMENTS IN  
CONNECTION WITH THE DELAYED LAUNCH OF THE  
SYSTEM FOR ELECTRONIC DATA ANALYSIS AND RETRIEVAL +**

**CSA COORDINATED BLANKET ORDER 13-930  
(cutover blanket order)**

**WHEREAS** under section 16 of the *Securities Act*, if the Superintendent considers that it would not be prejudicial to the public interest to do so, the Superintendent may, on application by an interested person or company or on its own initiative, make an order exempting a person, security, trade, distribution or transaction from all or any requirements of securities laws on such terms or conditions as may be set out in the order,

**AND WHEREAS** the Canadian Securities Administrators (CSA) staff has agreed to grant a blanket exemption order with respect to certain filing requirements in connection with the delayed launch of the System for Electronic Data Analysis and Retrieval + and the Superintendent is satisfied that, subject to the conditions set out in this Order, it is appropriate to provide exemptions from certain requirements,

**IT IS ORDERED THAT:**

**DEFINITIONS**

1. Terms defined in the *Securities Act* (the “**Act**”) and National Instrument 14-101 *Definitions* have the same meanings in this Order.

2. In this Order:

“cutover period” means the period beginning on June 9, 2023 and ending on the cutover end date;

“cutover end date” means the earlier of the date on which SEDAR+ becomes available for filing and June 16, 2023.

**BACKGROUND**

3. The National Systems Renewal Program is an initiative of the Canadian Securities Administrators (CSA) that will replace existing CSA national systems with a centralized system, the System for Electronic Data Analysis and Retrieval + (SEDAR+). The first phase of SEDAR+ will replace the System for Electronic Document Analysis and Retrieval

(SEDAR), the National Cease Trade Order Database, the Disciplined List, and certain filings.

4. In connection with the launch of SEDAR+, Prince Edward Island has adopted National Instrument 13-103 System for Electronic Data Analysis and Retrieval + (SEDAR+) (NI 13-103). NI 13-103 requires a person [or company] to transmit through SEDAR+ certain documents required or permitted under securities legislation to be filed with or delivered to a securities regulatory authority or regulator.
5. The Superintendent of Securities expects that, on June 9, 2023, NI 13-103 will come into force and National Instrument 13-101 System for Electronic Document Analysis and Retrieval (SEDAR) will be repealed. Accordingly, as of June 9, 2023, SEDAR will no longer be available for filing.
6. In order to accommodate the transfer of required system data, SEDAR+ will not be available during the cutover period.
7. During the cutover period, filers will not be able to comply with the requirement in NI 13-103 to file a document with, or deliver a document to, the securities regulatory authority or regulator by transmitting it through SEDAR+.
8. This order does not relieve a filer from any requirement under securities legislation to issue a news release or deliver a document to securityholders.

## **ORDER**

### **Exemption from the requirement to file or deliver a document during the cutover period**

9. The Superintendent of Securities, considering that to do so would not be prejudicial to the public interest, orders under section 16 of the Act that, in respect of a document that is required to be transmitted through SEDAR+ under NI 13-103, a person [or company] is exempt from the requirement to file the document with, or deliver the document to, [the securities regulatory authority or regulator] under securities legislation during the cutover period, provided that -3- the person [or company] files or delivers the document through SEDAR+ no later than 2 business days after the cutover end date:

### **EFFECTIVE DATE AND TERM**

10. This Blanket Order comes into effect on May 11, 2023.

DATED at the City of Charlottetown in the Prince Edward Island, this 10<sup>th</sup> day of May, 2023.

STEVE DOWLING (original signature on file)

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Steve Dowling  
Superintendent of Securities

## APPENDIX A

In Prince Edward Island:

- Multilateral Instrument 11-102 *Passport System*
- National Instrument 41-101 *General Prospectus Requirements*
- National Instrument 43-101 *Standards of Disclosure for Mineral Projects*
- National Instrument 44-101 *Short Form Prospectus Distributions*
- National Instrument 44-102 *Shelf Distributions*
- National Instrument 45-102 *Resale of Securities*
- National Instrument 45-106 *Prospectus Exemptions*
- National Instrument 51-101 *Standards of Disclosure for Oil and Gas Activities*
- National Instrument 51-102 *Continuous Disclosure Obligations*
- Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*
- National Instrument 52-112 *Non-GAAP and Other Financial Measures Disclosure*
- National Instrument 54-101 *Communication with Beneficial Owners of Securities of a Reporting Issuer*
- National Instrument 55-102 *System for Electronic Disclosure by Insiders (SEDI)*
- National Instrument 55-104 *Insider Reporting Requirements and Exemptions*
- National Instrument 58-101 *Disclosure of Corporate Governance Practices*
- National Instrument 62-104 *Take-Over Bids and Issuer Bids*
- National Instrument 81-101 *Mutual Fund Prospectus Disclosure*
- National Instrument 81-106 *Investment Fund Continuous Disclosure*

## APPENDIX B

Jurisdictions	General filing methods	Exceptions to general filing methods
British Columbia	<a href="mailto:cutover@bcsc.bc.ca">cutover@bcsc.bc.ca</a>	An application that is not a full or partial revocation application, an MCTO application, a prospectus pre-filing or a waiver application, is to be filed through eServices
Alberta	<a href="mailto:transition@asc.ca">transition@asc.ca</a>	Submit an application to the Commission or the Executive Director to <a href="mailto:legalapplications@asc.ca">legalapplications@asc.ca</a>
Saskatchewan	<a href="mailto:corpfin@gov.sk.ca">corpfin@gov.sk.ca</a>	N/A
Manitoba	<a href="mailto:securities@gov.mb.ca">securities@gov.mb.ca</a>	N/A
Ontario	OSC electronic filing portal: <a href="https://www.osc.gov.on.ca/filings">https://www.osc.gov.on.ca/filings</a>	N/A
Québec	For investment funds: <a href="mailto:Fonds_dinvestissement@lautorite.qc.ca">Fonds_dinvestissement@lautorite.qc.ca</a>  and for all other cases: <a href="mailto:Dispenses.passeport@lautorite.qc.ca">Dispenses.passeport@lautorite.qc.ca</a>	CPC qualifying transaction filings are to be filed by email at the general email address or in paper at 800, rue du Square-Victoria, 22e étage, C.P. 246, Place Victoria, Montréal (Québec) H4Z 1G3
New Brunswick	<a href="mailto:transition@fcnb.ca">transition@fcnb.ca</a>	CEDC filings (forms under local NB rule 45-509) are to be filed by email at the general email or in paper at 300-85 Charlotte Street, Saint John, NB E2L 2J2
Nova Scotia	<a href="mailto:NSSC_Corp_Finance@novascotia.ca">NSSC_Corp_Finance@novascotia.ca</a>	An application that is not a full or partial revocation application is to be filed at <a href="mailto:NSSCEXEMPTIONS@novascotia.ca">NSSCEXEMPTIONS@novascotia.ca</a>
Prince Edward Island	<a href="mailto:ccis@gov.pe.ca">ccis@gov.pe.ca</a>	N/A
Newfoundland and Labrador	<a href="mailto:SecuritiesExemptions@gov.nl.ca">SecuritiesExemptions@gov.nl.ca</a>	N/A
Yukon	<a href="mailto:securities@yukon.ca">securities@yukon.ca</a>	N/A
Northwest Territories	<a href="mailto:Securitiesregistry@gov.nt.ca">Securitiesregistry@gov.nt.ca</a>	N/A
Nunavut	<a href="mailto:securities@gov.nu.ca">securities@gov.nu.ca</a>	N/A